

# **EXHIBIT B**

### CUSTOMER CLAIM

Claim Number \_\_\_\_\_

Date Received\_

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

### In Liquidation

DECEMBER 11, 2008

Irving H. Picard, Esq.  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

Provide your office and home telephone no.

OFFICE: (800) 886-6690 ext 1

HOME: (510) 531-9214

Taxpayer I.D. Number (Social Security No.)  
AS-34-1-0000

085-36-6532

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Account Number: 1R0213

KENNETH E ROTH  
33 CAMELFORD PLACE  
OAKLAND, CA 94611

(If incorrect, please change)

**NOTE:** BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

\*\*\*\*\*

1. Claim for money balances as of **December 11, 2008**:
- a. The Broker owes me a Credit (Cr.) Balance of \$ \_\_\_\_\_
- b. I owe the Broker a Debit (Dr.) Balance of \$ \_\_\_\_\_

- c. If you wish to repay the Debit Balance,  
please insert the amount you wish to repay and  
attach a check payable to "Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC."

If you wish to make a payment, **it must be enclosed**  
with this claim form. \$ \_\_\_\_\_

- d. If balance is zero, insert "None." \_\_\_\_\_

2. Claim for securities as of **December 11, 2008**:

**PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.**

- |   | <u>YES</u>                                  | <u>NO</u> |
|---|---|-----------|
| a. The Broker owes me securities          | <u>✓</u>                                    | _____     |
| b. I owe the Broker securities            | _____                                       | <u>✓</u>  |
| c. If yes to either, please list below:   |   |           |
| <i>SEE ATTACHED ACCOUNT STATEMENT</i>     |   |           |
| <i>DATED 11/30/2008 BERNARD L. MADOFF</i> |   |           |
| <i>INVESTMENT SECURITIES LLC</i>          |   |           |
|   | Number of Shares or<br>Face Amount of Bonds |           |

Date of Transaction (trade date)	Name of Security	The Broker Owes Me (Long)	I Owe the Broker (Short)
<u>Nov 30<sup>th</sup> 2008</u>	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or

information regarding any NONE withdrawals you have ever made or payments NONE received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

**PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.**

**NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.**

	<u>YES</u>	<u>NO</u>
3. Has there been any change in your account since December 11, 2008? If so, please explain.	_____	_____/_____
4. Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?	_____	_____/_____
5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?	_____	_____/_____
6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	_____	_____/_____
7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.	_____	_____/_____
8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	_____ ✓ ONLY BERNARD L. MADOFF INVESTMENT SECURITIES LLC HAD ANY DISCRETIONARY AUTHORITY	_____

9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker. \_\_\_\_\_ ✓

Please list the full name and address of anyone assisting you in the preparation of this claim form: \_\_\_\_\_

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

**IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.**

**THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.**

Date 2-28-2009 Signature *James H. E. Roth*

Date \_\_\_\_\_ Signature \_\_\_\_\_

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

**This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:**

Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LTD  
New York, NY 10022

KENNETH S. ROFF

33 CAMELBACK PLACE  
OAKLAND CA 94611

885 Third Avenue  
New York, NY 10022  
1212/230-2125  
800-831-4315  
Fax 1212/878-1161

Not to be used for any other purpose  
12 Bedford Street  
New York, NY 10017  
Tel 646-367-6221

DATE	BUYER SECURITY OR NAME	SELLER SECURITY OR NAME	TRN	DESCRIPTION	PRICE OR RATE	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
1/12	572		269	BALANCE FORWARD			
1/12	430		771	WELLS FARGO & COMPANY	29.809	20,051.53	
1/12	416		4995	NEWEST PACIFIC CO	16.908	14,771.00	
1/12	272		5097	MAL-MART STORES INC	55.836	23,251.28	
1/12	1,008		9921	INTERNATIONAL BUSINESS MACHS	87.278	23,767.46	
1/12	1,104		9423	EXXON MOBIL CORP	77.880	23,507.06	
1/12	508		11749	FATIL CORP	14.519	14,051.08	
1/12	720		13074	JOHNSON & JOHNSON	96.530	31,472.74	
1/12	294		22500	J.P. MORGAN CHASE & CO	32.530	21,752.51	
1/12	224		26724	FOCA COLA CO	44.660	17,146.46	
1/12	616		31052	MEMORIALS CORP	55.177	23,418.02	
1/12	1,008		35170	VEEVA & CO	21.413	11,372.30	
1/12	763		39704	ORACLE CORPORATION	17.309	12,384.40	
1/12	304		52382	PEPICO INC	56.419	12,613.44	
1/12	174		53194	APPLE INC	100.789	17,744.29	
1/12	304		57008	PEPPER INC	15.842	23,308.26	
1/12	304		57518	ARJIT LABORATORIES	54.610	15,613.44	
1/12	576		61334	ORACLE & AMBAE CO	44.889	15,083.08	
1/12	701		61836	AMGEN INC	52.260	12,013.28	
1/12	400		61853	PILLIP MORRIS INTERNATIONAL	40.502	11,555.00	
1/12	451		65152	BAK OF AMERICA	21.390	23,264.47	
1/12	720		60896	CHILDSW INC	33.770	19,710.50	
1/12	1,008		70697	CITE GROUP INC	12.320	23,051.49	
				CYBERUS 24 PAGE			

PLEASE RETURN THIS STATEMENT FOR INCOME TAX PURPOSES



**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LTD  
New York, L London

885 Third Avenue  
New York, NY 10022  
2121 230-2424  
800 324-1343  
Fax 2121 638-4061

Madoff Securities International Limited  
12 Bankers Square  
Rt 1 Box 10000  
New York, NY 10108  
Tel 212 638-4022

KENNETH E ROTH

33 CAMEL FORD PLACE  
OAKLAND

CA 94611

DATE	BUYER	SELLER OR SHORT	TICK	DESCRIPTION	PRICE OR BID	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
1/19	75,000	52,917	1	CIGNELITY SPARTAN U S TREASURY MONEY MARKET J S TREASURY BILL 01/03/2009	99.075	74,944.50	43,430.00
1/19	26,422	51,967	1	CIGNELITY SPARTAN U S TREASURY MONEY MARKET 01/03/2009	99.075	26,147.00	
	3,135			NET BALANCE		98,133.25	
	304			SECURITY POSITIONS			
	209			AT&T			
	175			ARMSTRONG & ASSOCIATES			
	950			APPLE INC			
	450			LINK OF AMERICA			
	1,158			CANVON CORP			
	1,061			CISCO SYSTEMS INC			
	356			CIET GROUP INC			
	576			Coca Cola CO			
	286			CONCAST CORP			
	1,092			CONVERSE PHILIPS			
	2,032			EXXON MOBIL CORP			
				GENERAL ELECTRIC CO			
				CONTINUED ON PAGE 9			

PLEASE RETURN THIS STATEMENT FOR YOUR TAX PURPOSES





**BERNARD L. MADOFF**  
INVESTMENT SECURITIES  
New York & London

RENNETT E ROY

33 CAMELFOORD PLACE  
HARLAND  
CA 94511

035 Third Avenue  
New York, NY 10152  
(212) 234-2253  
RMR 335-1313  
Fax (212) 838-4061

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LINE	QUANTITY	UNIT	DESCRIPTION	PRICE OR RIBBON	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
544			FRITZ CO. INCORPORATED	32.679		
545			MAL-BAIT STORES INC	51.580		
672			WELLS FARGO & CO NEW	26.099		
			MARKET VALUE OF SECURITIES			
			LONG			
			SHORT			
			735,737.44			

PLEASE PRINT THIS STATEMENT FOR MONTHLY TAX PURPOSES

1-P-0233-3-0

\*\*\*\*\*532

**BERNARD L. MADOFF**  
YOUR INVESTMENT SECURITIES FIRM  
New York & London

KENNETH S. ROTH

33 LAMARCA PLACE  
DUBLIN

IA 94611

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
100 334-1343  
Fax (212) 830-1067

Module System v. 1.000000 v. 1.000000  
12 Bridge Street  
New York, NY 10022  
Tel: (212) 230-2424

1-20213-1-0

11/20/03

6

\*\*\*\*\*5537

DATE	BUYER'S ACQUISITION	SOLD DELIVERED BY	FSN	DESCRIPTION	PRICE OR BASIS	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
				YEAR-END DATE SUMMARY DIVIDENDS GROSS PROCEEDS FROM SALES			5172.83 423547.79404

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

**BENARD L. MALKOFF**  
**INVESTMENT SECURITIES LLC**  
 New York, New York

KENNETH A. ROSE

885 Third Avenue  
 New York, NY 10022  
 212/250-4444  
 800/334-1343  
 Fax (212) 212-9446

Mr. Kenneth A. Rose  
 2 Rector Street  
 New York, NY 10038  
 Tel: (212) 334-1343

33 CAMELBACK PLACE  
 OAKLAND

FA 94611

AMOUNT DEBITED  
 1-20213-4-0

AMOUNT CREDITED  
 11/30/03

AMOUNT DEBITED  
 1

AMOUNT CREDITED  
 9444445572

DATE	DESCRIPTION	PRICE OR QUANTITY	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
1/12	BALANCE FORWARD			
1/12	S E P 100 INDEX NOVEMBER 460 CALL	15.300		43,982.00
1/12	S E P 100 INDEX NOVEMBER 460 PUT	17.800		25,756.00
1/12	S E P 100 INDEX NOVEMBER 460 CALL	26	29,691.00	
1/12	S E P 100 INDEX NOVEMBER 460 PUT	30	62,815.00	
1/12	S E P 100 INDEX NOVEMBER 460 CALL	2	4,515.00	
1/12	S E P 100 INDEX NOVEMBER 460 PUT	27		23,106.00
1/12	NEW BALANCE			80,587.00
1/12	SECURITY PROVISIONS S E P 100 INDEX NOVEMBER 460 CALL	20.300		
1/12	SECURITY PROVISIONS S E P 100 INDEX NOVEMBER 460 PUT	16.300		
1/12	MARKET VALUE OF SPECIMENS			
1/12	MARKET VALUE OF SPECIMENS			37,280.00

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Return Receipt Fee (Confirmation Required)		\$2.00	
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Total Postage & Fees	\$	\$5.66	02/21/2009

Ship to: **REYNOLD G. YIZARD, Esq. TRAVEL FOR**  
**BERNARD A. MADOFF INVESTMENT SECURITIES, LLC**  
 2100 M. KERRY AVE., SUITE 800  
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2.10 oz.			
Return Rcpt (Green Card)			\$2.20
Certified			\$2.70
Label #:	7C070710300477555980		
Issue PVI:			\$5.66

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